(Date) 5/22/14

(Telephone No.)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER		DO NOT WRITE IN 1	Date Filed
		05-CA-129234	05/22/14
INSTRUCTIONS - File an original and in which the	d 4 copies of this charge with NLRB Regions e alleged unfair labor practice occurred or is	I Director for the region occurring.	
1. EMPLO	YER AGAINST WHOM CHARGE IS	BROUGHT	
a. Name of Employer FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp. as Joint and Single Emp	loyers	b. Number of workers employed	ed
c Address	d. Employer Representative	e. Telephone No.	
FWL & Sons: 5520 W. Broad St. Richmond, VA 23230	FWL & Sons: (b) (6), (b) (7)(C)	FWL & Eona: (804) 282	7514
McDonald's Corp: 2111 McDonald's Dr. Oak Brook, IL 60523	McDonald's: Gloría Santona		
F. Type of Establishment g. Identify principal product or service Restaurant Food Service			
h. The above named employer has engaged in and is (4)of the National Labor Relations Act, and these unfa			
2 BASIS OF THE CHARGE (Sot forth a	clear and concise statement of the facts con	ctituting the alloged unlair labor	praetioes)
On or about (6)(6),(6)(7)(C)2014, employer the employees in the exercise of their Section following conduct:	rrough (b) (6), (b) (7)(C) 7 rights in violation of Sections 8(interfered with, and disc a)(1) and (3) of the Act b	criminated against by engaging in the
-issuing (b) (6), (b) (7)(C) a disciplinary write-t	up for engaging in protected concer	ted activity;	
-suspending (b) (6), (b) (7)(C) for one week fo	or engaging in protected concerted a	activity.	
Full name of party filing charge (if labor organization) Southern Workers Organizing Committee	on, give full name, including local name and	number)	
4a Address (street and number, city, state, and ZIP code)		4b. Telephone No. Guillermo Zamora	
314 S. Wilmington St., Suite 207		(703) 629-2199	
Raleigh, NC 27601			
5 Full name of national or international labor organization of	which it is an affiliate or constituent unit (to be fille	d in when charge is filed by a labore	organization)
1 declare that I have read the above of	6. DECLARATION harge and that the statements are true to	the best of my knowledge and	d belief.
1 Thro			Smith, Attorney
ViSignature of representative or person making	charge)	(Title	if any)
Address Patterson Harkavy LLP 100 Eur	C 27517 (919)	942-5200	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)

From: Andela, Andrew
To: "mokun@pathlaw.com"

Subject: re: NLRB charge against FWL & Sons, Inc. (McDonald"s)

Date: Wednesday, May 21, 2014 4:29:00 PM

Good afternoon, Mr. Okun.

A few weeks ago, I asked you to refile a charge form in the above-referenced case. Thank you for doing so. The Union has now arranged a time nest week for the lead witness to present evidence, so I am hoping you can file one more charge form with today's date instead of May 1. (The previous one was not docketed, so no need for any withdrawal of the May 1 form.) Thank you very much in advance and let me know if you have any questions.

Drew Andela
Field Attorney
National Labor Relations Board, Region 5
Bank of America Center - Tower II
100 South Charles St., 6th Floor
Baltimore, MD 21201

Phone: (410) 962-5615 Fax: (410) 962-2198 Patterson Harkavy

Burton Craige Natendra K Ghosh Jonathan R Harkavy* Michael G. Okun Hemy N Patterson, Jr Paul E. Smith

Of Counsel.
Nahomi Harkavy*
*practice limited to
Alternative Dispute Resolution

ATTORNEYS AT LAW

Raleigh • Chapel Hill • Greensboro

Reply to Chape] Hill

100 Europa Drive, Suite 250 Chapel Hill, NC 27517 Telephone (919) 942-5200 Fax (919) 942-5256

www pathlaw com

FAX TRANSMITTAL

DATE: 5/22/14

TO: NLRB – Region 5

FAX No.: (410) 962-2198

FROM: (b) (6), (b) (7)(C)

Re: NLRB Charge

TOTAL # OF PAGES BEING TRANSMITTED INCLUDING

COVER SHEET:

Please see the attached document.

IF THERE ARE ANY PROBLEMS WITH THE RECEIPT OF THIS TRANSMITTAL, PLEASE CALL 919/942-5200 AS SOON AS POSSIBLE.

The information contained in this facsimile message is attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service Thank you.

MAY-22-2014 14:32 96% P.02

To:Fax to NLRB (refiling | charge) (14109622198) 14:23 05/22/14 EST Pg 1-3

Fax to NLRB (refiling (o) (5) (5) (7) (charge)

(b) (6), (b) (7)(C)

Patterson Harkavy LLP 100 Europa Dr, Ste 250 Chapel Hill, NC 27517 (919) 942-5200 (919) 942-5256 fax www pathlaw.com

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MAY-22-2014 14:32 96% P.01

016 NONA 10:1-108869 JB11			
CHARGE ASSIGNMENT SHEET (EMPLOYER)			
Case No.: 05-CO 129234 CASE NAME: Furt 5005, INC. 01610 Malbrid.			
DATE FILED: / / CATEGO	DV.		
5(22/14 01 0	n ×m		
u /) < // / ·	scriminatees # of Employees (if not currently on		
. N⊙ union): 8(a)	(3): charge) (00		
IO charge? Yes: ☐ No: 又	Dispute City: Richard		
	Dispute State: UA		
HOT TOPIC? Yes: ▼ No: □	Barg Status:		
Fast Food	☐ Existing Contract☐ None		
COMMENTS: Composition Chamo	☐ Organizational Campaign		
tollow construction with	☐ Seeking Initial Contract		
	☐ Seeking Successor Contract		
SUPERVISOR: Doyla	AGENT: Andala		
8(a)(f)	8(a)(4)		
☐ Coercive Actions (Surveillance, etc)	☐ Changes in Terms and Conditions of Employment		
☐ Coercive Rules	☐ Discharge (including Layoff and Refusal to Hire)		
☐ Coercive Statements (Threats, Promises of	□ Discipline		
Benefits, etc.) Concerted Activities (Retaliation, Discharge, Discipline)	□ Refusal to Reinstate Employee/Striker		
☐ Denial of Access	☐ Shutdown or Relocate/ Subcontract Unit Work		
☐ Discharge of supervisor (Parker-Robb Chevrolet)			
☐ Interrogation (including Polling)	8(a)(5)		
□ Lawsuits	□ Alter Ego		
□ Weingarten	☐ Failure to Sign Agreement		
	☐ Refusal to Bargain/Bad Faith Bargaining (including surface bargaining/direct dealing)		
8(a)(2)	□ Refusal to Furnish Information		
☐ Assistance	☐ Refusal to Hire Majority		
	☐ Refusal to Recognize		
□ Unlawful Recognition	☐ Repudiation/Modification of Contract[Sec 8(d)/Unilateral Changes		
	☐ Shutdown or Relocate (e.g. First National Maintenance).Subcontract Work		
8(a)(3)			
☐ Changes in Terms and Conditions of Employment	after the second of the second		
☐ Discharge (Including Layoff and Refusal to Hire (not salting)	☐ All Allegations against a Labor Organization		
Discipline	☐ All Allegations against an Employer		
□ Lockout	g		
☐ Refusal to Consider/Hire Applicant (salting only)			
☐ Refusal to Reinstate Employee/Striker (e.g. Laidlaw)			
Retaliatory Lawsuit	A / /		
☐ Shutdown or Relocate/ Subcontract Unit Work	proofed by: Edc 5/23/14		
☐ Union Security Related Actions	Dioatro Ty. Tomorita		



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, STE 600 BALTIMORE, MD 21201

Agency Website: www.nlrb.gov Telephone: (410)962-2822 Fax: (410)962-2198 Download NLRB Mobile App

May 23, 2014

(b) (6), (b) (7)(C)

FWL & Sons, Inc. 5520 West Broad St. Richmond, VA 23230 Ms. Gloria Santona McDonald's Corp. 2111 McDonald's Dr. Oak Brook, IL 60523

Re: FWL & Sons, Inc. d/b/a McDonald's &

McDonald's Corp as Joint and Single

Employers

Case 05-CA-129234

Dear (b) (6), (b) (7)(C) and Ms. Santona:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Andrew Andela whose telephone number is (410) 962-5615. If Andrew Andela is not available, you may contact Deputy Regional Attorney John Doyle whose telephone number is (410) 962-3156.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be

considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

- 2 -

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Charles L. Forner

Charles L. Posner Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

Revised 3/21/2011	NATIONAL LABOR RELAT	IONS BOARD			
QUESTIONNAIRE ON COMMERCE INFORMATION					
			vitem number		
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number. CASE NAME CASE NUMBER					
FWL & Sons, Inc. d/b/a McDe	FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp as Joint and Single Employers 05-CA-129234				
1. EXACT LEGAL TITLE OF ENTITY (As filed with State and/or stated in legal d	ocuments forming entity)			
2. TYPE OF ENTITY					
[] CORPORATION [] LLC [] L	LP [] PARTNERSHIP [] SOLE	PROPRIETORSHIP [] OTHER (Specify)			
3. IF A CORPORATION or LLC					
A. STATE OF INCORPORATION OR FORMATION	B. NAME, ADDRESS, AND RELATION	SHIP (e.g. parent, subsidiary) OF ALL RELATE	D ENTITIES		
OKTORWATION					
4. IF AN LLC OR ANY TYPE OF PART	NERSHIP, FULL NAME AND ADDRES	SS OF ALL MEMBERS OR PARTNERS			
5. IF A SOLE PROPRIETORSHIP, FUL	L NAME AND ADDRESS OF PROPRIE	TOR			
6. BRIEFLY DESCRIBE THE NATURE	OF YOUR OPERATIONS (Products han	dled or manufactured, or nature of services perfo	rmed).		
7. A. PRINCIPAL LOCATION:	B. BRANCH LOC	ATIONS:			
8. NUMBER OF PEOPLE PRESENTLY	EMPLOYED				
A. Total:	B. At the address involved in this ma	tter:			
9. DURING THE MOST RECENT (Chec	k appropriate box): [] CALENDAR YR	[] 12 MONTHS or [] FISCAL YR (FY	dates)		
			YES NO		
-	excess of \$50,000 directly to customers	outside your State? If no, indicate actual va	alue.		
\$ B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods					
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PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

FWL & SONS, INC. D/B/A MCDONALD'S & MCDONALD'S CORP AS JOINT AND SINCEMPLOYERS	
Charged Party	Case 05-CA-129234
and	
SOUTHERN WORKERS ORGANIZING COMMITTEE	
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AC	GAINST EMPLOYER
I, the undersigned employee of the National Labo May 23, 2014, I served the above-entitled docum following persons, addressed to them at the following	ent(s) by post-paid regular mail upon the
(b) (6), (b) (7)(C) FWL & Sons, Inc. 5520 West Broad St. Richmond, VA 23230	
Ms. Gloria Santona McDonald's Corp. 2111 McDonald's Dr. Oak Brook, IL 60523	
May 23, 2014	Andrew Giannasi, Designated Agent of NLRB
Date	Name

/s/ Andrew Giannasi

Signature



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, STE 600 BALTIMORE, MD 21201

Agency Website: www.nlrb.gov Telephone: (410)962-2822 Fax: (410)962-2198

May 23, 2014

Paul E. Smith, Esq. Patterson Harkavy LLP 100 Europa Dr., Ste. 250 Chapel Hill, NC 27517

Re: FWL & Sons, Inc. d/b/a McDonald's &

McDonald's Corp as Joint and Single

Employers

Case 05-CA-129234

Dear Mr. Smith:

The charge that you filed in this case on May 22, 2014 has been docketed as case number 05-CA-129234. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Andrew Andela whose telephone number is (410) 962-5615. If Andrew Andela is not available, you may contact Deputy Regional Attorney John Doyle whose telephone number is (410) 962-3156.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp as Joint and Single Employers
Case 05-CA-129234

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Charles L. Forner

Charles L. Posner Regional Director

Enclosure: Copy of Charge

cc: Mr. Guillermo Zamora

Southern Workers Organizing Committee

314 S. Wilmington St., Suite 207

Raleigh, NC 27601



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, STE 600 BALTIMORE, MD 21201

Agency Website: www.nlrb.gov Telephone: (410)962-2822 Fax: (410)962-2198

Agent's Direct Dial: (410)962-5615

June 12, 2014

Doreen S. Davis, Esq. Jones Day 222 East 41st Street New York, NY 10017-6739 ddavis@jonesday.com

Jonathan M. Linas, Esq. Jones Day 77 W. Wacker Drive Suite 3500 Chicago, IL 60601-1692 jlinas@jonesday.com

Andrew Madsen, Esq.
Jones Day
77 W. Wacker Drive, Suite 3500
Chicago, IL 60601-1701
amadsen@jonesday.com

Re: FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp as Joint and Single

Employers Case 05-CA-129234

Dear Ms. Davis, Mr. Linas, and Mr. Madsen:

I am writing this letter to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-referenced matter. Set forth below are the allegations and issues on which your evidence is needed, a request to take affidavits, a request for documentary evidence, and the date for providing your evidence.

Allegations: The allegations for which I am seeking your evidence are as follows. Describe specific allegations for which you seek charged party evidence.

Board Affidavits: I am requesting to take affidavits from [INSERT NAME(S) AND TITLE(S) OF INDIVIDUALS TO PROVIDE AFFIDAVITS] and any other individuals you believe have information relevant to the investigation of this matter. Please be advised that the failure to present representatives who would appear to have information relevant to the

investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge. Please contact me by insert date to schedule these affidavits.

Documents: Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

1.

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by ENTER DATE for presenting all evidence. If you are willing to allow me to take affidavits, please contact me by ENTER date for contacting about affidavits] to schedule a time to take affidavits. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to www.nlrb.gov, select E-File Documents, enter the NLRB case number, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (410)962-5615, or e-mail, andrew.andela@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

Andrew Andela Field Attorney



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, STE 600 BALTIMORE, MD 21201

Agency Website: www.nlrb.gov Telephone: (410)962-2822 Fax: (410)962-2198

Agent's Direct Dial: (410)962-5615

June 16, 2014

Doreen S. Davis, ESQ. Jones Day 222 EAST 41ST STREET NEW YORK, NY 10017-6739

JONATHAN M LINAS, ESQ., Attorney Jones Day 77 W WACKER DR. Ste 3500 CHICAGO, IL 60601-1692

Andrew Madsen, ESQ. Jones Day 77 W. Wacker Drive, Suite 3500 Chicago, IL 60601-1701

Re: FWL & Sons, Inc. d/b/a McDonald's &

McDonald's Corp as Joint and Single

Employers

Case 05-CA-129234

Dear Ms. Davis, Mr. LINAS, Mr. Madsen:

I am writing this letter to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-referenced matter. Set forth below are the allegations and issues on which your evidence is needed, a request to take affidavits, a request for documentary evidence, and the date for providing your evidence.

Allegations: The allegations for which I am seeking your evidence are as follows. [Describe specific allegations for which you seek charged party evidence.]

Board Affidavits: I am requesting to take affidavits from [INSERT NAME(S) AND TITLE(S) OF INDIVIDUALS TO PROVIDE AFFIDAVITS] and any other individuals you believe have information relevant to the investigation of this matter. Please be advised that the failure to present representatives who would appear to have information relevant to the investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge. Please contact me by insert date to schedule these affidavits.

Documents: Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

1.

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by ENTER DATE for presenting all evidence. If you are willing to allow me to take affidavits, please contact me by ENTER date for contacting about affidavits to schedule a time to take affidavits. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to www.nlrb.gov, select E-File Documents, enter the NLRB case number, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (410)962-5615, or e-mail, andrew.andela@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

Andrew Andela Field Attorney

Via e-mail

Mr. Andrew Andela Board Agent National Labor Relations Board Region 5 Bank of America Center, Tower II Baltimore, MD 21201

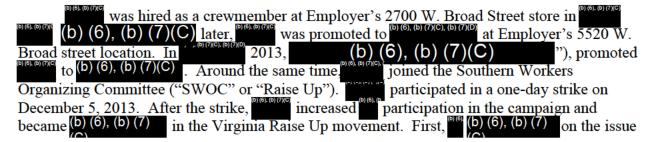
Re: Southern Workers Organizing Committee/FWL & Sons. Inc. d/b/a McDonald's Corp. as Joint and Single Employers 05-CA-129234

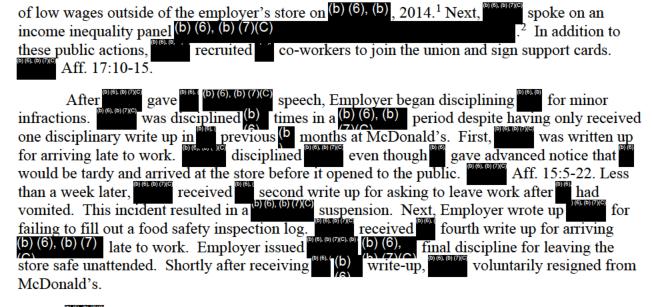
Dear Mr. Andela:

Please accept this letter as a statement of position in support of the above referenced unfair labor charge alleging FWL & Sons and McDonald's ("Employer") violated Section 8(a)(1) and (3) of the National Labor Relations Act and our contention that was not a supervisor under 2(11) of the Act.

Employer's actions constitute straightforward violations of the law, which the Region is well positioned to address without significant analysis. As such, we will give a summary of the evidence without providing substantial legal argument. Our legal discussion will focus on employee status. Based on the facts presented in affidavit, it is clear that was not a supervisor but rather a (b) (6), (b) who assisted in the flow of work at the restaurant. had no significant responsibilities requiring individual judgment and lacked the ability to assign or responsibly direct, and had no meaningful role in the discipline of employees.

I. <u>Background</u>





II. was disciplined and suspended for engaging in protected concerted activity in violation of 8(a)(1) and (3) of the Act

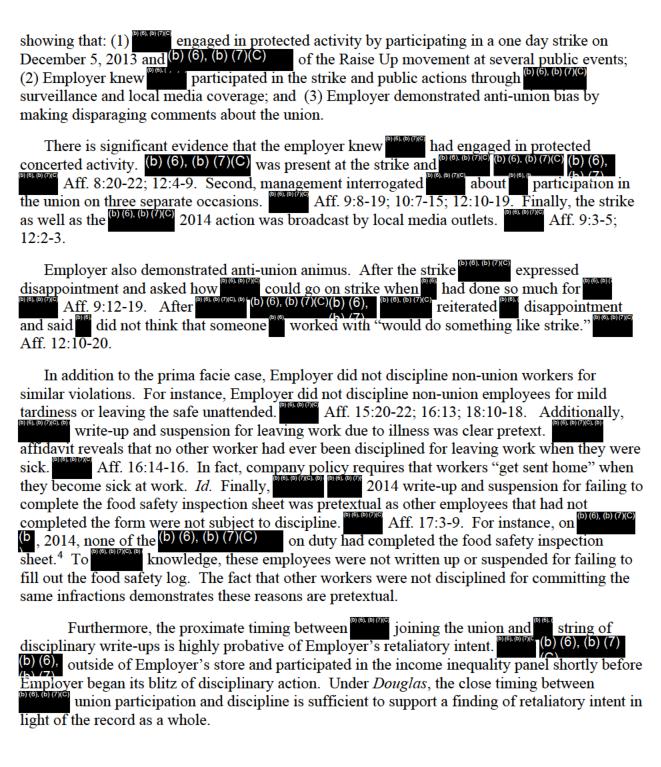
An employer violates Section 8(a)(3) of the Act when it retaliates against an employee for engaging in protected concerted activity. Proof of discriminatory motive can be based on direct evidence or inferred from circumstantial evidence based on the record as a whole. *Embassy Vacation Resorts*, 340 NLRB 846, 848 (2003). Absent direct evidence, the Board will infer discriminatory intent if the charging party establishes a prima facie case.³ Other indicia of intent to discriminate include: proximate timing between protected activity and discrimination; disparate treatment of pro-union employees; and the employer's failure to offer the employee the opportunity to answer allegations. *Tubular Corp. of America*, 337 NLRB 99 (2001)(holding termination was motivated by discriminatory intent because employee was fired less than two weeks after engaging in protected concerted activity and the non-union co-workers were not terminated for similar conduct). Timing alone may suggest anti-union animus as a motivating factor in an employer's action. *Douglas Aircraft Company*, 308 NLRB 1217, 1220 (1992).

While employer contends was disciplined for failing to comply with legitimate workplace rules, these reasons are pretextual and will not defeat the inference of unlawful motivation. The record is replete with circumstantial evidence from which to infer discriminatory intent. First, the union has established a prima facie case of discrimination by

¹ Exhibit 1

² Exhibit 2

³ To establish a prima facie case for discrimination, the charging party must show: (1) the employee engaged in protected activity; (2) the employer had knowledge of the employee's activity; and (3) the employer manifested anti-union bias.



⁴ Exhibit 3

_

III. was not a Supervisor Under 2(11) of the Act, as lacked the authority to engage in supervisory functions and did not exercise independent judgment in the functions could effectively recommend.

Employees are statutory supervisors under the Act if: "(1) they hold the authority to engage in any 1 of the 12 supervisory functions (e.g., "assign" and "responsibly to direct") listed in Section 2(11); (2) their "exercise of such authority is not of a merely routine or clerical nature, but requires the use of independent judgment;" and (3) their authority is held "in the interest of the employer." Supervisory status may be shown if the putative supervisor has the authority either to perform a supervisory function or to effectively recommend the same." *Oakwood Healthcare Inc.*, 348 NLRB 686, 687 (2006)(quoting *NLRB v. Kentucky River Community Care*, 532 U.S. 706 (2001).⁵

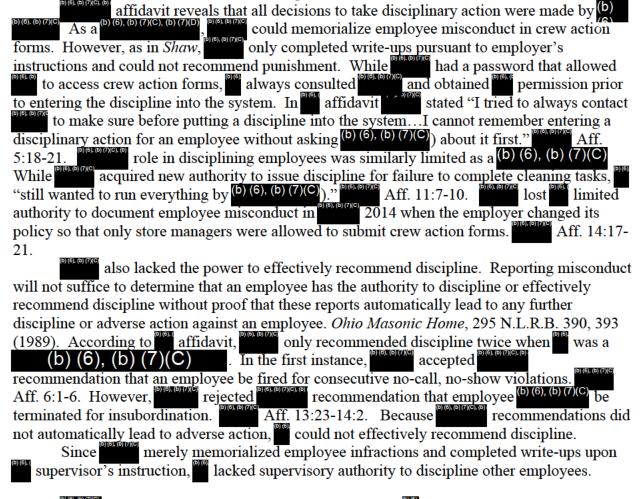
worked as a worked

A. did not have authority to discipline, because authority to complete writeups of employees only allowed to document the facts underlying a perceived infraction of a company policy, without the use of independent judgment.

Reprimands and warnings do not constitute effective discipline if the ultimate decision as to whether discipline is warranted is made by higher management. *Phelps Cmt. Med. Ctr.*, 295 N.L.R.B. 486, 490 (1989) (holding warnings that do not alone affect job status or tenure do not convey supervisory authority.); *Shaw Inc.*, 350 N.L.R.B. 354, 356 (2007)(finding reporting incidents of employee misconduct was not supervisory since the reports did not always lead to discipline, and did not contain disciplinary recommendations). In analyzing the scope of the authority to discipline, the Board distinguishes between the mere ability to "write up" an employee who violates work rules and the actual ability to discipline an employee. *See, e.g., Franklin Home Health Agency*, 337 N.L.R.B. 826, 830 (2002). Additionally, the Board will not

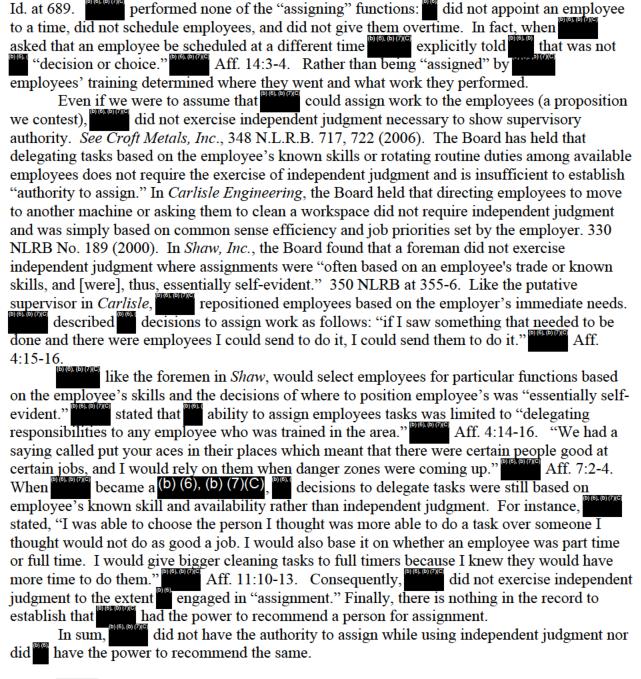
⁵ Section 2(11) of the Act defines a supervisor as "any individual having the authority, in the interest of the employer, to hire, transfer, suspend, lay off, recall, promote, discharge, assign, reward, or discipline other employees, or responsibly to direct them, or to adjust their grievances, or effectively to recommend such action, if in connection with the foregoing the exercise of such authority is not of a merely routine or clerical nature, but requires the use of independent judgment." 29 U.S.C. Sec. 152(11).

find supervisory status if an employee does not exercise discretion in determining whether to complete a write up. *Shaw* 350 NLRB at 356. In *Shaw*, the Board held a foreman was not a supervisor since he only completed write-ups when dictated by the company handbook and referred corrective action decisions to his supervisor. *Id*.



B. did not have authority to "assign," because could not designate an employee to a place, appoint an employee to a time, or give significant overall duties to an employee while using independent judgment.

In *Oakwood Healthcare*, the Board stated that "assigning" for purposes of Section 2(11) refers to the "act of designating an employee to a place (such as a location, department, or wing), appointing an employee to a time (such as a shift or overtime period), or giving significant overall duties, i.e., tasks to an employee." 348 NLRB at 689. On the other hand, "choosing the order in which the employee will perform discrete tasks within those assignments," or giving an ad hoc instruction that the employee perform a discrete task," does not amount to "assigning."



C. (b)(6)(6)(7)(c) did not have the authority to "hire," or effectively recommend hire.

In order for an employee to have the authority to effectively recommend a candidate, there must be more shown than "mere participation in the hiring process." *Training*

School at Vineland, 332 N.L.R.B. 1412, 1417 (2000). In Springfield Terrace, Ltd., the Board upheld the regional director's determination that charge nurses did not have the authority to effectively recommend hiring employees where it was determined that the charge nurse gave her opinion of a candidate the Director of Nursing was interviewing because the record failed to reflect that the candidate was hired "solely on the input from the [charge nurse] without any independent review of the candidate by" the charge nurse's superiors. Springfield Terrace, Ltd., 2010 N.L.R.B. LEXIS at *41.

did not have the authority to hire people directly. Aff. 6:16. lacked the authority to effectively recommend employees for hire. Even though "usually ended up hiring" candidates that recommended, nothing in the record described demonstrates that did more than act as a reference for job applicants. role in the store's hiring process as "I would just informally let (b) (6), (b) (7)(C)) know about someone I knew who was looking for a job and who I thought would be a good Aff. 6:14-16. Like the Director of Nursing in *Springfield Terrace*, referrals evidenced by the fact that not all of the people independently reviewed recommended were hired. Since had the exclusive power to directly hire employees and independently reviewed the applicants recommended by subordinates, did not possess the supervisory authority to hire.

D. did not have authority to "responsibly direct," because could not take corrective action, was not subject to actual accountability, and did not use independent judgment.

did not have the authority responsibly to direct under Section 2(11). In *Oakwood Healthcare*, the Board stated that an individual has supervisory authority "responsibly to direct" employees when that individual decides 'what job shall be undertaken next or who shall do it,'.. provided that the direction is both 'responsible'... and carried out with independent judgment." 348 NLRB at 691.

In *Shaw*, the Board found that various foremen did not have authority to direct where the pipeline construction performed by crewmembers was routine. The Board found that there was "no showing that such work require[d] more than minimal guidance." Similarly, in this instant case, would "spend most of the shift in the kitchen preparing food" and would only intervene in crewmember workflow in the event of a "danger zone" situation.

Furthermore, was subject to regular monitoring by higher management. In *Shaw*, the Board found that the foremen were not supervisors where there was regular monitoring by management to ensure proper performance. The Board noted that foremen had the means to contact and communicate with supervisors when they were not on site, and problems or questions about unexpected developments were directed to supervisors for them to handle.

Like the foremen in *Shaw*, almost always directed problems or questions to and handled them according to instructions.

had the authority to direct (which we do not), Even if we were to assume that did not have the authority to do so responsibly. Direction is "responsible" only if "the person directing and performing the oversight of the employee" is "accountable for the performance of the tasks by the other, such that some adverse consequence may be all the one providing the oversight if the tasks performed by the employee are not performed properly." Id. at 691–692. To show that direction by is "responsible," one "must present evidence of "actual accountability," Alstyle Apparel, 351 NLRB 1287 The level of accountability necessary to show that direction is "responsible" is not established unless it is "shown that the employer delegated to the putative supervisor the authority . . . to take corrective action if necessary." Oakwood Healthcare, 348 NLRB at 692. The purpose of this "accountability" requirement is to create a clear distinction between employees directing employees in the interests of management and those whose interest in directing other employees "is simply the completion of a certain task" (and therefore are not acting as supervisors). Id. Therefore, to meet the accountability standard, the putative supervisor "will have, if and to the extent necessary, an adversarial relationship with those he is directing." Id.

There is no evidence that met the "accountability" standard required to find responsible direction. could not take any corrective action for employee infractions, such as, docking an employee's pay or suspending or firing an employee. To the contrary, even when employee to adhere to very limited direction, only demonstrated recourse was to give a verbal warning, call to report what occurred and wait for determination.

Last, and furthermore, did not exercise independent judgment as required by Section 2(11). The Board requires that an employee be "free of the control of others and form[ed] an opinion or evaluation by discerning and comparing data." Oakwood at 693. Because to run decisions by during expected shift, was not freed of the supervisor. Thus, limited direction of employees was under the was often not physically in the facility, supervision of Even though would was not given the leeway to act in a way if a problem arose. Accordingly, requiring independent judgment.

IV. <u>Conclusion</u>

For the reasons cited, we do not be	elieve ^{(b) (6), (b) (7)(C)}	was a supervisor under the Act.
Moreover, we respectfully submit that the		
Employer for its repeated violations of	Section 7	rights.

Sincerely,

Virginia Diamond

Enclosures:

Exhibit 1
Exhibit 2
Exhibit 3

From: <u>Lauren Bonds</u>
To: <u>Andela, Andrew</u>

 Subject:
 Exhibits for 05-CA-129234/FWL & Sons Inc.

 Date:
 Monday, July 21, 2014 1:57:41 PM

Attachments: Exhibits.pdf

Mr. Andela-

Attached are the exhibits, including the food safety log, for (b) (6), (b) (7)(C) case. Please let me know if you need anything else.

Best,

Lauren

__

Lauren Bonds Law Fellow Service Employees International Union

EXHIBIT 1

Retweeted by <u>LWC @ VCU</u>

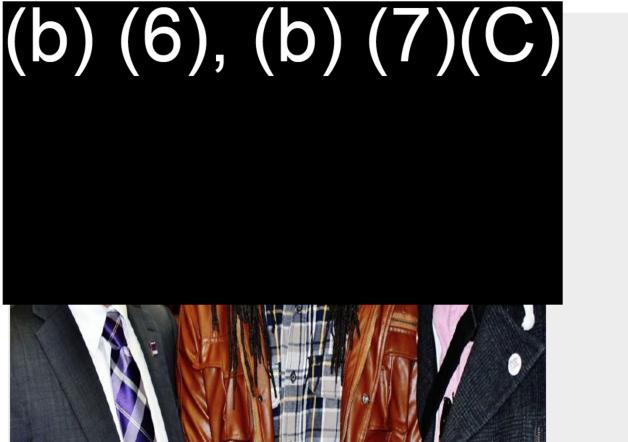
Raise Up For 15 @RaiseUpfor15 · (b) (6),

Speaking now is (b) (6), (b) (7)(C) at the #wagetheft protest in #RVA

(b) (6), (b) (7)(C)

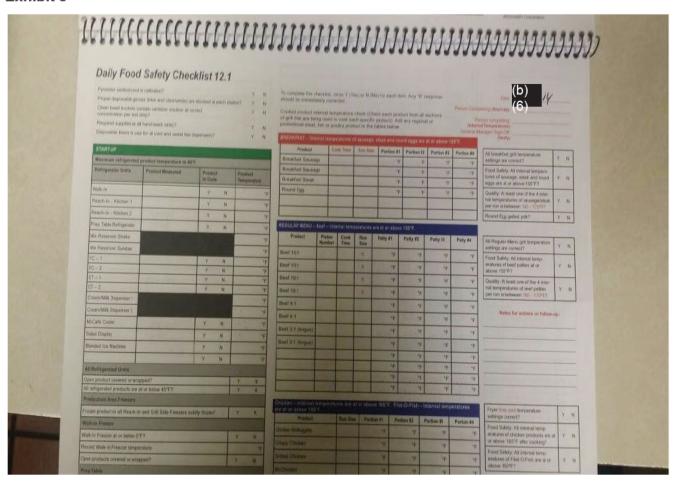


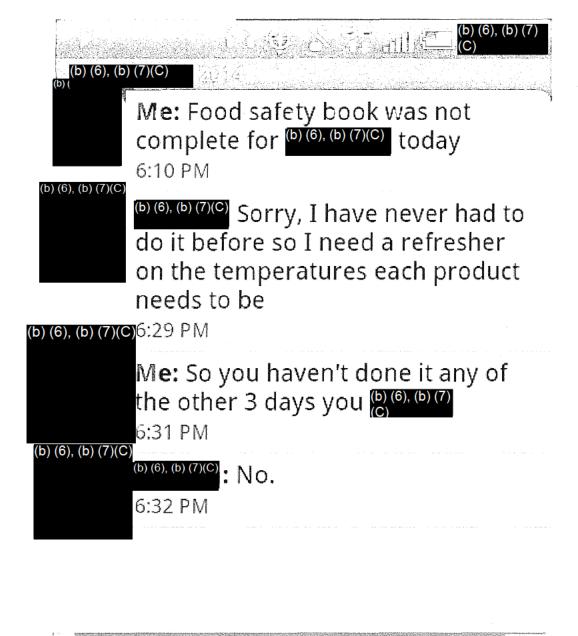
View more photos and videos



via Facebook Report Content

Exhibit 3





hid text

From: Posner, Charles

To: Andela, Andrew; Shuster, Steven L.; Sawyer, Paula S.; Murphy, Thomas

Subject: RE: FIR for 05-CA-129234 (FWL & Sons/ McDonald"s Corp.)

Date: Friday, July 25, 2014 11:09:33 AM

To be more precise, the evidence establishes the (b) (6), (b) (7)(C) of $^{(b)}$ (6), (b) (7)(C)

From: Posner, Charles

Sent: Friday, July 25, 2014 11:05 AM

To: Andela, Andrew; Shuster, Steven L.; Sawyer, Paula S.; Murphy, Thomas **Subject:** RE: FIR for 05-CA-129234 (FWL & Sons/ McDonald's Corp.)

I agree that the evidence establishes the (b) (6), (b) (7)(C) of the Charging Party and that the charge should be dismissed, absent withdrawal. No agenda necessary.

From: Andela, Andrew

Sent: Friday, July 25, 2014 8:38 AM

To: Posner, Charles; Shuster, Steven L.; Sawyer, Paula S.

Subject: FIR for 05-CA-129234 (FWL & Sons/ McDonald's Corp.)

Good morning.

Please find attached a copy of the FIR for this case, the agenda for which is scheduled for today at 3:00. The FIR is also uploaded to NxGen. Thank you very much.

Drew Andela
Field Attorney
National Labor Relations Board, Region 5
Bank of America Center - Tower II
100 South Charles St., 6th Floor
Baltimore, MD 21201

Phone: (410) 962-5615 Fax: (410) 962-2198 From: Doyle, John D.

To: Dunham, Geoffrey

Cc: Shuster, Steven L.; Murphy, Thomas; Andela, Andrew

 Subject:
 Region 5 McDonald"s case, 05-CA-129234

 Date:
 Tuesday, July 29, 2014 2:46:00 PM

Geoffrey – In the subject case Region 5 has (b) (5)

(b) (5) The charging party has requested withdrawal. This email is to request Region 2's approval, as coordinating Region, to approve the withdrawal request and close the case. We look forward to your response. Let us know if you need any additional information. My contact information is provided below. Thanks for all.—John

John D. Doyle, Jr.
Deputy Regional Attorney
National Labor Relations Board, Region Five
Bank of America Center – Tower II
100 South Charles Street, Suite 600
Baltimore, Maryland 21201
john.doyle@nlrb.gov

Tel: (410) 962-3156 Cell: (205) 533-4818 Fax: (410) 962-2198

From: Andela, Andrew

Sent: Monday, July 28, 2014 2:42 PM

To: Doyle, John D. **Cc:** Murphy, Thomas

Subject: Disposition Chain Non-adjusted WITHDRAWAL, Case 05-CA-129234 (FWL & Sons and McDonald's Corp.)

WITHDRAWAL

CASE NAME: FWL &	FWL & Sons, d/b/a McDonald's and McDonald's Corp as joint/ single employers		
CASE NUMBER: 05-CA-1	129234		
SCOPE: Full If Partial, Allegations disposed of by this action: Allegations Remaining:			
Date of issuance of Withdraw	al Letter:		

_

Withdrawal Options: Non-adjusted Oral

<u>Remarks:</u>

(b) (5), (b) (6), (b) (7)(C)

Charging Party requested withdrawal by telephone on 7/28/14.

Checklist:

- ✓ My LOG is updated in NxGen. (Link LOG)
- All Notices of Appearance, including e-mail correspondence, have been uploaded into NxGen.
- ☑ The Participant List accurately reflects all appearances.

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If Partial WD, Draft Language:

Sample partial w/d language Sample conditional w/d language

Routing Instructions: Typing Pool Full - Agent - Supe - ARD - RD Sec. - OM - Typing Pool - RD Sec. - RD -

Typist will save e-mail chain as: FIR.05-xx-xxxxxx.chain approving-Partial-Withdrawal

05-CA-129234 Page 1

Case Name: FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp as Joint and Single

Employers

Case No. 05-CA-129234

Agent: Field Attorney Andrew Andela

NOTE: see log from 05-CA-126740 for previous communications

CASEHANDLING LOG

Date	Person Contacted	Method of Contact	Description of Contact or Activity
5/21/14	Lauren Bonds	Email, phone	Discussed time for (b) (6), (b) (7)(C) to appear for affidavit. (b) (6), at SEIU office in Richmond works for all parties.
5/21/14	Michael Okun	Email/ phone	LM and sent email re: new arrangements for aff and asking him to send a newly dated charge form for docketing.
5/22/14	Paul Smith	phone	Spoke with another atty at Okun's firm; Okun is out of town and PS wanted to know if he could sign the new form or if it had to be Okun again. I told him it would be fine if PS signed it and that he could fax it to BRO for speed's sake. He will fax charge today.
5/22/14	NxGen	system	New charge docketed
5/27/14	LB	phone	In light of issues getting (b) (6), (b) (7)(c) to appear for previous charge, called and emailed to confirm appointment. LB emailed back that will definitely be there.
5/28/14	Doreen Davis, Jonathan Linas, Andrew Madsen	e-file	Received NOA from attorneys for McD's Corp
^{(b) (6), (b) (7} /14	(b) (6), (b) (7)(C) (b) (6), (b) (7)(C)	In person	Met with affiant as scheduled at SEIU office in Richmond.
5/30/14	(b) (6), (b) (7)(C)	phone	Have only a phone number for (b) (6), (b) (7)(C). LM on number on charge to call me regarding charge.
6/3/14	(b) (6).	phone	L another M
6/5/14	Doreen Davis	phone	Called to confirm that Jones Day represents only McD's Corp. She confirmed that this is the case; she is not representing FWL in any capacity.
6/5/14	(b) (6), (b) (7)(C)	phone	L another M

05-CA-129234 Page 2

6/10/14	(b) (6), i	phone	Reached (b) (6), (b) (I think). Said could take message. Introduced myself and explained why I was calling. told me would pass on message. I asked if I could have an email address for (b) since this was very important and wanted to make sure (b) got back to me soon. responded that would not be necessary since would give message today.
6/16/14	(0) (6),	phone	I received a call from the number on charge for but no message left. I called back and LM on voice mailbox.
6/18/14	Doreen Davis et al	email	Sent allegations letter by email to attorneys for McDonald's Corp.
6/18/14	(b) (6), (b) (7)(C)	mail	Sent allegations letter to FWL owner by regular mail (no email address for at this time)
6/19/14	(b) (6), (b) (7)(C)	phone	LM returning my calls. I called back and got no answer. Called again and LM.
6/20/14	(b) (5), i	phone	L another M
6/23/14	(5) (6).	phone	Finally reached said received my letter and had forwarded it to insurance company and that they or someone they selected would be in touch with me soon.
6/24/14	Brian Sharpe	Phone/ email	Received call from atty representing FWL. He is just finding out about this, but wants to cooperate as fully and as quickly as possible. Asked if I could send copy of allegations letter I sent to work on it. Emailed copy of allegations letter.
6/25/14	BS	e-file	Submitted NOA
6/25/14	Andrew Madsen	Email, e-file	Received PST from McDonald's Corp. attys.
6/30/14	BS	Email/ phone	LM and sent email asking for extra time to respond. Called back and discussed on phone. He is not sure how long it will take and sounded very worried that we would not provide extra time. Promised to have me a position statement by July 11. I told him ok.

05-CA-129234 Page 3

7/8/14	Virginia Diamond	email	Received PST from U representative.
7/11/14	Brian Sharpe	Email, e-file	FWL atty submitted PST and DEV
7/18/14	BS	Email, e-file	Submitted further PST and DEV in response to 7/ request.
7/21/14	BS	Email/ phone	LM and sent email asking for further DEV re food safety logs. BS responded by email with DEV and explanation.
7/21/14	LB	email	Sent DEV missing from original PST submission.
7/24/14	BS	email	Requested add'l info re: procedure by which shift managers process written disciplines. BS responded to all queries.
7/25/14	Agenda committee	In office	(b) (5)
7/25/14	LB	phone	Called to inform of determination. She will check with organizer Guillermo Zamora and get back to me with whether CP will withdraw or take dismissal.
7/28/14	LB	phone	Called to ask if Region had considered (b) (5) She requested withdrawal of charge and will follow up with email saying same.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, SUITE 600 BALTIMORE, MD 21201

Agency Website: www.nlrb.gov Telephone: (410) 962-2822 Fax: (410) 962-2198

August 29, 2014

Doreen S. Davis, Esq. Jones Day 222 East 41st Street New York, NY 10017-6739

Jonathan M Linas, Esq. Andrew Madsen, Esq. Jones Day 77 West Wacker Drive, 5th Floor Chicago, IL 60601-1692

Brian J. Sharpe, Esq. LaPointe Law, P.C. 1200 Shermer Road, Suite 310 Northbrook, IL 60062-4500

Re: FWL & Sons, Inc. d/b/a McDonald's &

McDonald's Corp as Joint and Single

Employers

Case 05-CA-129234

Dear Ms. Davis, Mr. Linas, Mr. Madsen, and Mr. Sharpe:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

1st Charles L. Posner

Charles L. Posner Regional Director

cc: See Page Two

- 2 -

Case 05-CA-129234

cc: (b) (6), (b) (7)(C)

FWL & Sons, Inc. 5520 West Broad Street Richmond, VA 23230

Ms. Gloria Santona, General Counsel McDonald's Corp. 2111 McDonald's Drive Oak Brook, IL 60523

Mr. Guillermo Zamora Southern Workers Organizing Committee 314 South Wilmington Street, Suite 207 Raleigh, NC 27601

Paul Smith, Esq. Patterson Harkavy, LLP 100 Europa Drive, Suite 250 Chapel Hill, NC 27517



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 5 BANK OF AMERICA CENTER, TOWER II 100 S. CHARLES STREET, STE 600 BALTIMORE, MD 21201

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August 29, 2014

Doreen S. Davis, ESQ. Jones Day 222 EAST 41ST STREET NEW YORK, NY 10017-6739

JONATHAN M LINAS, ESQ., Attorney Jones Day 77 W WACKER DR., 5TH FLOOR CHICAGO, IL 60601-1692

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Brian J. Sharpe, ESQ. LaPointe Law, P.C. 1200 Shermer Road, Suite 310 Northbrook, IL 60062-4500

Re: FWL & Sons, Inc. d/b/a McDonald's &

McDonald's Corp as Joint and Single

Employers

Case 05-CA-129234

Dear Ms. Davis, Mr. LINAS, Mr. Madsen, Mr. Sharpe:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

FWL & Sons, Inc. d/b/a McDonald's & McDonald's Corp as Joint and Single Employers
Case 05-CA-129234

Very truly yours,

CHARLES L. POSNER Regional Director

cc: (b) (6), (b) (7)(C)

FWL & Sons, Inc. 5520 West Broad Street Richmond, VA 23230

Gloria Santona, General Counsel McDonald's Corp. 2111 McDonald's Drive Oak Brook, IL 60523

Guillermo Zamora Southern Workers Organizing Committee 314 S. Wilmington St., Suite 207 Raleigh, NC 27601

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